

Genome-wide association identifies candidate genes for drought tolerance in coast redwood and giant sequoia

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SUMMARY

Drought is a major limitation for survival and growth in plants. With more frequent and severe drought episodes occurring due to climate change, it is imperative to understand the genomic and physiological basis of drought tolerance to be able to predict how species will respond in the future. In this study, univariate and multitrait multivariate genome-wide association study methods were used to identify candidate genes in two iconic and ecosystem-dominating species of the western USA, coast redwood and giant sequoia, using 10 drought-related physiological and anatomical traits and genome-wide sequence-capture single nucleotide polymorphisms. Population-level phenotypic variation was found in carbon isotope discrimination, osmotic pressure at full turgor, xylem hydraulic diameter, and total area of transporting fibers in both species. Our study identified new 78 new marker × trait associations in coast redwood and six in giant sequoia, with genes involved in a range of metabolic, stress, and signaling pathways, among other functions. This study contributes to a better understanding of the genomic basis of drought tolerance in long-generation conifers and helps guide current and future conservation efforts in the species.

Keywords: drought, GWAS, *Sequoiadendron giganteum*, *Sequoia sempervirens*, polygenic traits, carbon isotope discrimination, stomata, osmotic pressure, xylem.

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INTRODUCTION

Understanding the genomic basis of phenotypic trait variation and its distribution across a species range is indispensable to predict the response of species to global climate change and to develop conservation and management guidelines (Bellard et al., 2012; Razgour et al., 2019). This has become an urgent need in the western USA, where longer and more severe drought events have resulted in massive tree mortality over the last 10 years (Adams et al., 2017; Allen et al., 2010; Fettig et al., 2019; Hicke et al., 2016; Stephenson et al., 2018). Drought stress, manifesting as low soil water content and/or high evaporative demand, poses significant challenges to the establishment, development, growth, and survival of long-generation tree species such as conifers (Adams and Kolb, 2005), and predisposes trees to pathogens and pests (Gaylord et al., 2013; Jactel et al.,

2012). Despite the economic importance of conifers and their dominance in global arid, semi-arid, montane, and circumpolar zones, the genomics of drought and thermal tolerance have received little attention and lag behind studies in other plant species (Moran et al., 2017).

Conifer species have large genome sizes (8–34 Gb; De La Torre et al., 2014; Murray et al., 2004), and large genetic-to-physical distance ratio (>3000 kb cM⁻¹). Linkage disequilibrium in coding regions rapidly decays within a short distance, which complicates the identification of genes responsible for phenotypic variation (Neale and Savolainen, 2004). Another challenge of genotyping many individuals is the need to use a massive number of genome-wide markers in large-genome trees such as conifers. The development of high-throughput systems such as next-generation sequencing and single nucleotide polymorphism (SNP) arrays

should help overcome this difficulty, as they allow rapid and cost-effective genotyping over a large number of SNPs (McCarthy et al., 2008). In addition, the rapid advancement of genome sequencing and bioinformatics approaches has opened the door to more comprehensive assessments of population-level diversity (McGuire et al., 2020). Association mapping principally exploits evolutionary recombination at the natural population level (Myles et al., 2009). A mixed linear model (MLM) method (Yu et al., 2006) was proposed to control for population structure and the imbalanced kinships among various individuals (Pritchard et al., 2000). Until recently, determining the molecular basis of heritable trait variation has been challenging in conifer species, and genome-wide association studies (GWAS) have been limited to a few species and traits (Baison et al., 2020; Chen et al., 2021; De La Torre et al., 2021a; Elfstrand et al., 2020; Lu et al., 2017; Weiss et al., 2020). For example, association studies of drought tolerance have only been performed with pre-selected candidate genes (Cumbie et al., 2011; Depardieu et al., 2021; Eckert et al., 2010; Gonzalez-Martinez et al., 2008; Trujillo-Moya et al., 2018) and no large-scale, genome-wide studies have been reported to date.

Giant sequoia (*Sequoiadendron giganteum* [Lindl.] J. Buchh.) (SEGI) is a slow-growing, long-lived, outcrossing species that grows in discrete groves on the western slope of the Sierra Nevada Mountains in California. SEGI is diploid and has a genome size of 8.125 Gbp (Scott et al., 2020). The species occurs in a highly disjunct range consisting of approximately 75 groves, spanning about 420 km north to south and ranging from 830 to 2700 m elevation. SEGI is the most moisture-demanding species of mixed conifer forests, mainly because of its very high leaf area: mature trees can have $>10^8$ leaves (Dodd and DeSilva, 2016; Sillett et al., 2015). Coast redwood (*Sequoia sempervirens* [D. Don] Endl.) (SESE) is also slow-growing and long-lived but differs from SEGI in that it is hexaploid (genome size is 26.5 Gbp; Neale et al., 2021) and often reproduces asexually. The species once had a nearly continuous distribution along the Pacific Coast in Oregon and California, but natural populations were severely reduced by intensive logging beginning in the nineteenth and twentieth centuries (Breidenbach et al., 2020; Burns et al., 2018). Both SESE and SEGI are listed as endangered by the International Union for Conservation of Nature (IUCN) Red List of Threatened species (Farjon and Schmid, 2013). However, increased growth rates in response to elevated CO₂ (Sillett et al., 2015) may make these two species good candidates for forest restoration and carbon sequestration.

Being the tallest and fourth-tallest conifers, the crowns of SESE and SEGI can stretch over approximately 100 m of vertical extent, and thus these species have the greatest degree of within-crown phenotypic plasticity of any conifers measured, both responding more strongly to

water availability than to light (Chin and Sillett, 2016, 2019). Like other members of the Cupressaceae, SESE and SEGI lack an endodermis to constrain the breadth of their vascular development, allowing the proliferation of traits promoting water-stress tolerance with increasing height (Chin and Sillett, 2016; Oldham et al., 2010). Less clear is whether populations of these species have adapted genetically to environmental variation across their ranges, in ways that either limit or enhance phenotypic plasticity in traits related to drought tolerance. In this study, we sampled natural populations across the current ranges of both SEGI and SESE, grew cuttings in pots in a greenhouse common garden for 2 years, measured a range of physiological and anatomical traits thought to be relevant for drought resilience, and tested for significant genome-wide associations with 10 different drought-related traits using univariate and multivariate GWAS methods. We aimed to dissect the genomic basis of drought tolerance in each species, to identify the hardiest individuals and populations that might be used for conservation and restoration efforts in the species.

RESULTS

Genotype datasets

In total, 577 774 and 767 242 SNPs were called for 71 SEGI and 82 SESE individuals, respectively. From them, 52 987 (9%) SNPs from 71 SEGI individuals; and 57 357 (7%) SNPs from 82 SESE individuals were retained after filtering using TASSEL. The before and after filtering SNP statistics for each of the SEGI and SESE individuals are reported in Tables S1 and S2, respectively. The filtered SNP datasets were retained for further GWAS analyses.

Phenotype datasets

For the phenotypic traits listed in Table 1, within-genotype mean trait values varied widely across genotypes for each species (Figure 1), and showed variation across the species natural ranges (Figure 2). In SESE, the relative spread of means across genotypes was greatest for the central fiber area, C/N ratio (CN), and shoot mass per unit area (SMA), whereas in SEGI the spread was greatest for total areas of transfusion tissue and xylem. In both species, the relative spread was smallest for carbon isotope discrimination and xylem hydraulic diameter (HD). Consistent with established patterns, trait values in SEGI indicated a relatively more xeric habit than those in SESE were, e.g., total xylem and transfusion tissue areas and xylem HD were all smaller in SEGI, and osmotic pressure at full turgor and leaf mass per unit area were greater in SEGI. Trait variability across genotypes grown in our common garden was generally lower than that seen along vertical gradients within the crowns of individual trees (cf. red bars in Figure 1) (Chin and Sillett, 2016; Oldham et al., 2010).

Table 1 Drought-related traits measured in this study in giant sequoia (SEGI) and coast redwood (SESE)

Trait	Symbol	Units
Shoot mass per area	SMA	g m ⁻²
Osmotic pressure at full turgor	PIFT	MPa
C:N ratio	CN	Unitless
Stable carbon isotope discrimination	D13C	Per mille
Stable nitrogen isotope discrimination	D15N	Per mille
Stomatal density	SD	mm ⁻²
Total area of transfusion tissue	TA	μm ²
Total area of xylem	XA	μm ²
Total area of central fibers	FA	μm ²
Xylem hydraulic diameter	HD	μm

Correlations among traits and environmental parameters

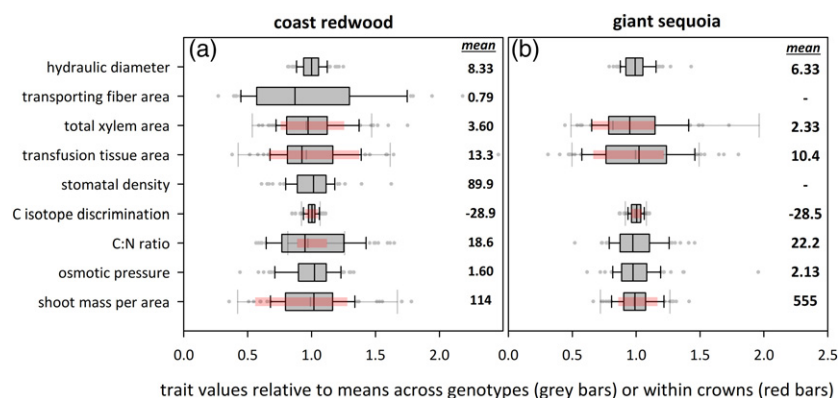
In SEGI, CN was positively correlated with SMA ($r = 0.33$, $P = 0.004$), and carbon isotope discrimination (D13C) ($r = 0.32$, $P = 0.006$). Total xylem area of vascular bundle was also positively correlated with carbon isotope discrimination ($r = 0.24$, $P = 0.04$); and total area of transfusion tissue (TA) ($r = 0.43$, $P = 0.0001$). All correlations results can be found in Figure 3. Carbon isotope discrimination was positively correlated with latitude ($r = 0.26$, $P = 0.025$) and negatively associated with longitude ($r = -0.3$, $P = 0.009$) and elevation ($r = -0.27$, $P = 0.021$). The CN ratio was negatively correlated with elevation ($r = -0.27$, $P = 0.019$).

Carbon isotope discrimination was positively correlated with several precipitation variables (Bio3, Bio12, Bio13, Bio16, Bio17, and Bio19), suggesting populations at the northern distribution of the species range, located at more humid locations and lower elevations have higher water-use efficiency than populations in other locations when grown in a common garden. Osmotic pressure at full

turgor (PIFT) was positively correlated with different measures of temperature and precipitation variation (Bio4-Temperature seasonality, Bio7-Temperature Annual Range, Bio15-Precipitation seasonality) and negatively correlated with relative humidity. Finally, xylem HD was correlated with mean annual solar radiation. All correlation results can be found in Figure S1.

In SESE, the total area of central fibers (FA) was negatively correlated with latitude ($r = -0.3$, $P = 0.006$), and positively correlated with longitude ($r = 0.23$, $P = 0.037$). The same trait was also negatively correlated with various measures of precipitation, including mean annual precipitation (MAP), Bio12–Bio19, and positively correlated with several temperature-related variables (mean coldest month temperature, extreme minimum temperature [EMT], Bio3, Bio8, Bio11). PIFT was positively correlated with different precipitation variables such as Bio13, Bio16, and Bio19. SMA was positively correlated with osmotic pressure at full turgor ($r = 0.33$, $P = 0.002$), CN ratio ($r = 0.39$, $P = 0.0003$), and total xylem area ($r = 0.29$, $P = 0.01$). Finally, xylem HD was positively correlated with mean annual temperature, DD5, EMT, and Eref, and negatively with degree days below 18°C (DD_18). All correlation results can be found in Figure S2.

Genotypes from the latitudinal and precipitation extremes of SESE had very little overlap within the common-garden trait-space, but in all cases overlapped by at least 70% with intermediate categories; trees originating from intermediate sites thus did not have readily detectable trait differences from either extreme. North and South genotypes shared 19% of their unified trait-space, while Wet and Dry genotypes only intersected in 14% of their unified trait-space, with low levels of overlap indicating multivariate differences in the suites of traits associated

**Figure 1.** Phenotypic variability in drought-related traits across populations of climatically diverse origin is similar to or smaller than phenotypic plasticity within individual tree crowns.

Gray bars indicate variation (interquartile range) across genotypes examined in this study, for (a) coast redwood, and (b) giant sequoia; red bars indicate variation within crowns of single trees examined by Chin and Sillett (2016) and Oldham et al. (2010). The vertical line in each gray bar denotes the median, whiskers denote 5th and 95th percentiles, and gray symbols are outliers. Trait values are expressed relative to mean values across genotypes (gray bars) or within crowns (red bars). Mean values across genotypes for each trait are shown on right of each panel (units as in Table 1; for areas of transporting fibers, xylem, and transfusion tissue, multiply values shown here by 10^3 to get areas in μm^2).

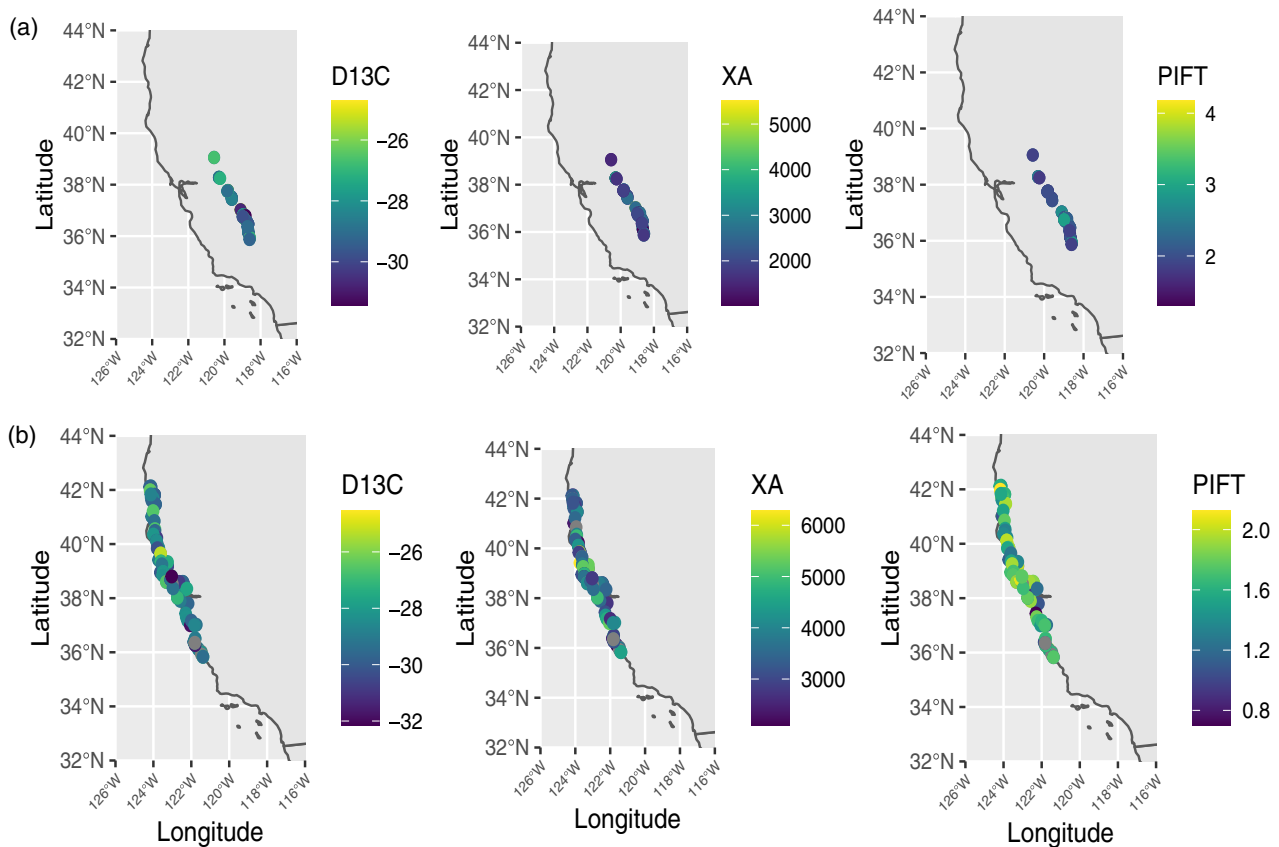


Figure 2. Phenotypic variability across the species natural distribution range based on common garden experiments. Carbon isotope discrimination (D13C), total xylem area (XA) and osmotic pressure (PIFT) in (a) giant sequoia and (b) coast redwood.

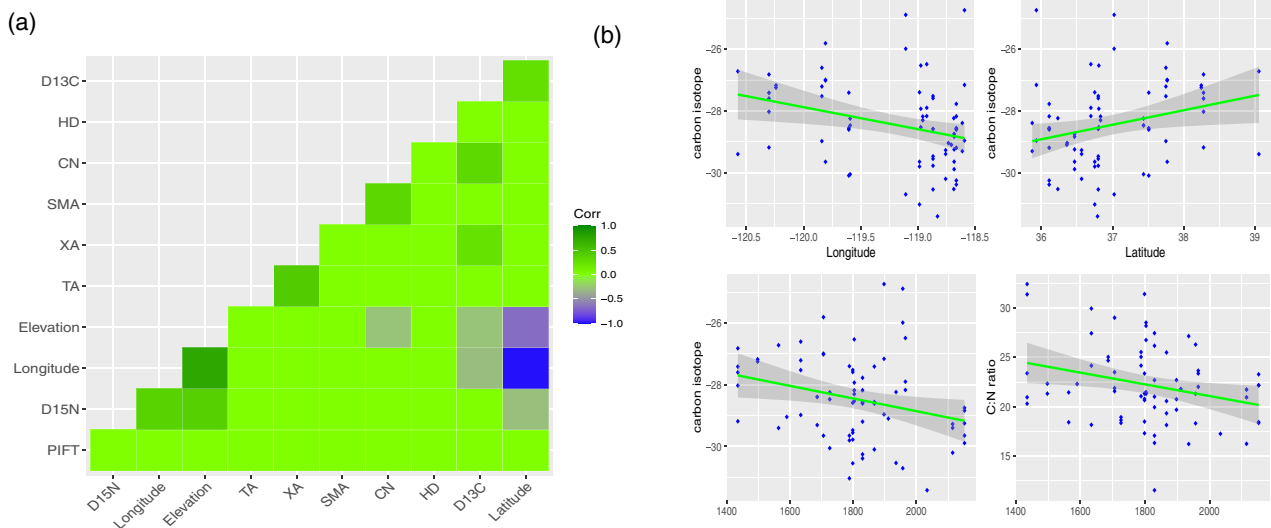


Figure 3. Correlations among drought-related traits and geographical variables in giant sequoia. (a) Heatmap showing R for all combinations of variables; (b) scatterplots of significant correlations ($P < 0.05$) among geographic variables and carbon isotope discrimination and C/N ratio. Full trait names can be found in Table 1. CN, carbon/nitrogen ratio; D13C, carbon isotope discrimination; HD, hydraulic area; PIFT, osmotic pressure at full turgor; SMA, shoot mass per unit area; TA, total area of transfusion tissue; XA, total xylem area.

with both latitudinal and precipitation extremes. Wet and Dry sites had highly conserved traits compared with intermediate precipitation sites, which had 5× less point density within their phenotypic volumes, suggesting a broader hydraulic niche driving much less specialization. Likewise, genotypes from the central latitudes of SESE were spread across almost 3× the relative trait-space of North or South genotypes.

GWAS

Genome-wide association analyses of 52 987 SNP markers and 71 individuals in SEGI; and 57 357 SNP markers and 82 individuals in SESE were performed to detect marker–trait associations. MLM and general linear mixed model (GLM) were used to determine associations between genotypic and phenotypic datasets in TASSEL. In SEGI, a total number of approximately 476K associations were tested among 52 987k SNPs and all phenotypic traits listed in Table 1 (stomatal density and FA were excluded from this analysis, in which stomatal density was not measured and transporting fibers were not observed). In SESE, a total of approximately 573K associations were tested among 57 357k SNPs and all nine phenotypes. Bonferroni correction for multiple testing was performed to adjust *P*-values. The GLM identified a total number of 78 significant SNPs, 77 of them were associated with TA and one with FA (Table 2, Table S3). These SNPs were distributed across 22 scaffolds and matched 23 genes in the genome of the SESE (Table S3). In SEGI, GLM only identified two SNPs, located at close distance in chromosome 9 and associated with PIFT with a $P < 9.00 \times 10^{-6}$ after Bonferroni correction (Table 2, Table S4, Figure S3). Manhattan plots of $-\log_{10}(P)$ values for SNPs versus chromosomal or scaffold positions were generated from these datasets. TASSEL MLM did not identify any significant marker × trait associations in any of the species after Bonferroni correction at threshold, $P < 0.05$.

Subsequently, univariate linear mixed model (uLMM) and multivariate linear mixed model (mvLMM) approaches were performed in GEMMA to identify significant SNPs. In SESE, mvLMM identified 31 significant SNPs ($P < 9.00 \times 10^{-6}$), and uLMM, 29 SNPs ($P < 9.00 \times 10^{-6}$) (Figure 4; Tables S5 and S6; Figure S4). Of the 29 SNPs identified from the uLMM analysis, 27 were significantly associated with TA, one with xylem HD, and one with FA. In SEGI, mvLMM identified three significant SNPs and uLMM only one ($P < 9.00 \times 10^{-6}$) was associated with total xylem area (Figure 5; Table S7; Figure S5). These SNPs were in chromosomes 5, 8, and 9 of the SEGI genome (Table S7). Among all three analyses, including GLM at TASSEL, and uLMM and mvLMM at GEMMA, in total, 27 of significant SNPs were consistently found in SESE (Figure 6). For SEGI, only one significant SNP (chromosome 8) was shared among two of the GWAS analyses (mvLMM and uLMM; Figure 5). Manhattan plots for each

SNP versus chromosomal or scaffold positions for GLM (TASSEL), and uLMM and mvLMM (GEMMA) analyses for both species were reported in Figures 4 and 5, and Figures S3–S5.

In SESE, all significant SNPs associated with TA, came from genes involved in the ubiquitin system, cationic antimicrobial peptide resistance, hedgehog signaling pathway, glycine, serine and threonine metabolism, lysosome, apoptosis, plant–pathogen interaction, renin–angiotensin system, and protein digestion and absorption (Table 3). In SESE, gene SESE_010495 was annotated as a F-box protein, SESE_026053 as a motile sperm domain-containing protein, SESE_026278 as a BTB/POZ domain-containing protein, SESE_028233 as a protein HOTHEAD-like, and SESE_039821 as a receptor-like protein kinase HAIKU2 (Table 3). In SEGI, a significant SNP at the gene SEGI_21288 associated with PIFT was identified as an uncharacterized protein. The Gene Ontology (GO) IDs and GO names of these genes of significant SNPs in SESE and SEGI were reported in Table 3.

DISCUSSION

By using a combination of univariate and multivariate methods, our study was able to identify several genes associated with drought-related traits in two ecologically important conifer species: SESE and SEGI. Previous genome-wide studies identifying candidate genes for drought tolerance have been absent for both of these important species. Here, we report notable phenotypic variation for several drought-related traits among natural populations (or groves) of both SEGI and SESE grown in a common garden. The development of genome-wide methods, gene identification, functional annotation, and location in the species genomes has only been possible due to the recent sequencing of reference genomes for both species (Neale et al., 2021; Scott et al., 2020).

Polygenic basis of drought tolerance

Our results suggest a polygenic basis of drought tolerance, consistent with previous GWAS in other complex traits in conifer species, with candidate genes distributed in different chromosomes or scaffolds, and small to moderate effect sizes (Baison et al., 2020; De La Torre et al., 2021a; Weiss et al., 2020). The exact location of candidate genes in the SESE genome could only be determined at the scaffold level, as the current assembly of the reference genome is not chromosome-scale (Neale et al., 2021). Univariate methods identified 78 new significant associations for SESE, 27 of them were consistently found by all three univariate and multivariate GWAS methods in this study. All these SNPs (except one) were associated with variations in the total area of transfusion tissue associated with the leaf vasculature. However, when using the multitrait multivariate mvLMM method in GEMMA, we found that many of

Table 2 Functional annotation of the genes of significant single nucleotide polymorphisms (SNPs) identified by general linear mixed model (GLM) at TASSEL and univariate linear mixed model (uLMM), multivariate linear mixed models (mvLMM) at GEMMA for and coast redwood (SESE) and giant sequoia (SEGI)

Analysis	Gene	Similar search ID ^a	SNPs ^b	P-value	Annotation description	KEGG pathway
GT	SESE_010495 ^c	XP_024365529.1	1	2.00E – 07	F-box protein	Ubiquitin system
GT	SESE_022882 ^c	XP_024520340.1	1	3.00E – 07	Uncharacterized protein	Cationic antimicrobial peptide (CAMP) resistance
GT	SESE_025289 ^c	—	1	6.00E – 07	—	—
GT	SESE_026053 ^c	XP_006840991.3	4	3.00E – 07	Motile sperm domain-containing protein	—
GU, GM, GT	SESE_026278 ^c	XP_006847025.2	1	1.00E – 07	BTB/POZ domain-containing protein	Hedgehog signaling pathway
GT	SESE_028233 ^c (MAT)	XP_010255566.1	1	0.00E + 00	Protein HOTHREAD-like	Glycine, serine and threonine metabolism
GT	SESE_031915 ^c (MAT)	XP_027156177.1	1	7.00E – 07	Uncharacterized protein	—
GT	SESE_035946 ^c	ATG29933.1	1	1.00E – 07	Cyp750c18	Cytochrome
GU, GM, GT	SESE_039821 ^c	XP_012445484.1	21	2.00E – 07	Receptor-like protein kinase HAIKU2	—
GT	SESE_041334 ^c	XP_020251916.1	1	8.00E – 07	Uncharacterized protein	—
GT	SESE_058034 ^c (CMD)	XP_011625611.2	1	0.00E + 00	Cysteine-rich receptor-like protein kinase	—
GT	SESE_074679 ^c	ABR17724.1	1	4.00E – 07	Unknown	—
GT	SESE_075160 ^c	XP_006840304.2	1	2.00E – 07	Chlorophyll <i>a/b</i> binding protein	Photosynthesis-antenna proteins, metabolic pathways
GT	SESE_079577 ^c (MAP)	XP_007014626.2	1	8.00E – 07	Protein indeterminate domain	—
GT	SESE_084919 ^c	XP_017700156.2	1	2.00E – 07	Hypothetical protein	—
GT	SESE_093724 ^c	XP_006858242.1	1	1.00E – 07	Glyoxysomal processing protease	—
GT	SESE_102359 ^c	XP_020532610.1	1	1.00E – 06	Lysosomal Pro-X carboxypeptidase	Renin–angiotensin system, protein digestion and absorption
GT	SESE_118250 ^c (MAP)	XP_027064440.1	1	4.00E – 07	Uncharacterized protein	—
GU, GM, GT	SESE_121791 ^c	XP_031476139.1	4	2.00E – 07	Cysteine protease RD19A-like	Lysosome, apoptosis, plant–pathogen interaction
GM	SESE_041833	XP_006838305.1	1	6.00E – 06	Cellulose synthase A catalytic	Transferases
GM	SESE_031320 ^c (MAP)	XP_027057309.1	1	4.00E – 06	Uncharacterized protein	—
GT	SEGI_21288 ^d (MAP)	XP_019108059.1	1	7.18E – 07	Uncharacterized protein	—
GM	SEGI_29523	MBT3334041.1	1	3.20E – 06	5-amino-6-(D-ribitylamino) uracil-L-tyrosine 4-hydroxyphenyl transferase-CofH	Methane metabolism, metabolic pathways

Analysis column indicates the analysis methods (GLM, uLMM, mvLMM) for finding significant SNPs associated with different phenotypic traits in SEGI and SESE. Similar search ID column indicates the GenBank or uniprot ID identified by similar BLAST hits. Genes associated with environmental variables in a previous GEA study show the environmental variable in parentheses.

CMD, climate moisture deficit; GEA, genome-wide environmental association study; GM, GEMMA multivariate; GT, GLM at TASSEL; GU, GEMMA univariate; MAP, mean annual precipitation; MAT, mean annual temperature.

^aNCBI or Uniprot IDs.

^bTotal numbers of candidate SNPs in a gene.

^cGenes were associated with total area of transfusion tissue.

^dGenes were associated with PIFT phenotype.

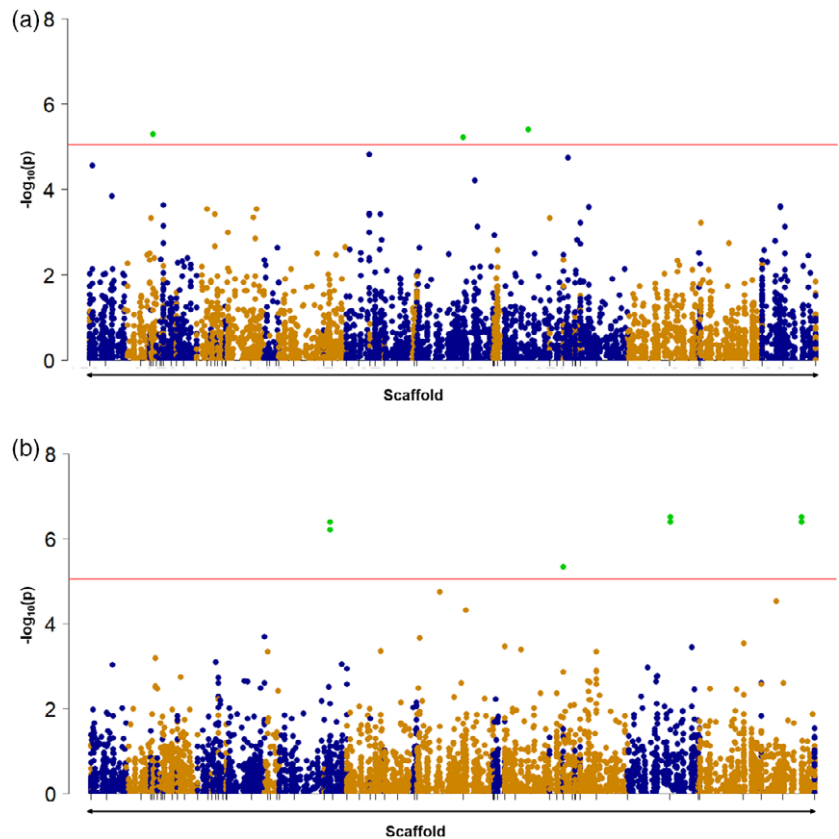
the SNPs identified by TASSEL were associated with a group of drought-related traits, either vascular or carbon isotope-related traits. This is coincident with the presence of significant correlations among traits in these groups (Figure 3), suggesting the multitrait multivariate GWAS provides a more accurate picture of the complex trait

architecture in the species. Six genes associated with total area of transfusion tissue in SESE were also associated with MAT, MAP, or climate moisture deficit in a previous environmental GWAS using the same SNP set (Table 2; De la Torre et al., 2021b). Transfusion tissue area triples with height in tall SESE crowns, and is associated with low

Figure 4. Manhattan plot of single nucleotide polymorphism (SNP) markers generated by GEMMA using multivariate linear mixed model (mvLMM) in coast redwood.

(a) Manhattan plot indicate the mvLMM analysis with the phenotypic traits shoot mass per unit area, osmotic pressure at full turgor, stomatal density, carbon isotope discrimination, and carbon/nitrogen ratio (group 1) in coast redwood.

(b) Manhattan plot indicating the mvLMM analysis with the phenotypic traits D15N, total area of transfusion tissue, total xylem area, hydraulic area, and total area of central fibers (group 2) in coast redwood. In the Manhattan plot the y-axis represents the P -value of SNP markers in $-\log_{10}$ and the x-axis is chromosomal positions. Red line represents genome-wide significant cut-off ($P < 9.00 \times 10^{-6}$). Green dot over the genome-wide significant cut-off (red line) represents the significant SNPs ($P < 9.00 \times 10^{-6}$).



water availability and less-negative values of $\delta^{13}\text{C}$; it buckles under drought stress, releasing water that helps protect the leaf and isolate damage (Oldham et al., 2010).

The number of significant associations was much lower in SEGI with only six significant associations discovered by all GWAS methods, with two of them associated with osmotic pressure at full turgor, one with the total xylem area, and three with combinations of traits (Figure 5). The multitrait multivariate mvLMM method did not result in significant differences or a higher number of candidate genes when compared with the univariate methods. Significant genes were involved in RNA-dependent DNA biosynthetic processes and catalytic activity (Table 3). One of the genes, SEGI_21288 (chromosome 9), associated with osmotic pressure, was also associated with MAP in a previous genome-wide environmental association study in SEGI (De La Torre et al., 2021b).

In SESE, most associations were clustered in a small number of scaffolds and genes. For example, scaffold 16773 harbors three closely located genes (SESE_102359, SESE_121791, and SESE_010570) involved in proteolysis (Table 3); scaffold 203021 has four different genes (SESE_026053, SESE_008114, SESE_041334, and SESE_031915) with unknown functions, and scaffold 344217 has two genes (SESE_039821 and SESE_025289), the first one, a receptor-like protein kinase

HAIKU2 involved in protein phosphorylation, and the second one with unknown function. The identification of other potential genomic clusters could only be possible with the presence of a chromosome-scale genome assembly in SESE. No genomic clusters were observed in SEGI mainly due to the small number of significant associations.

Despite the relatively small sample size of the common garden experiments, substantial phenotypic variation was found in several of the drought-related traits measured in this study. For example, the CN ratio, total area of transfusion tissue, total xylem area, and total area of central conducting fibers showed great variation in the species (Figure 1). This large variation, however, did not translate into the identification of large numbers of candidate genes. There might be several explanations for this: the presence of high levels of plasticity for these drought-related traits in the species; a large difference between the number of markers and samples leading to false negatives after stringent multiple testing correction; or the relatively low power to detect rare variants due to small sample sizes. Owing to the genome-wide distribution and the number of markers included in this study, we do not consider the number of markers to be a potential limitation in our study despite the rapid decay of linkage disequilibrium in the species.

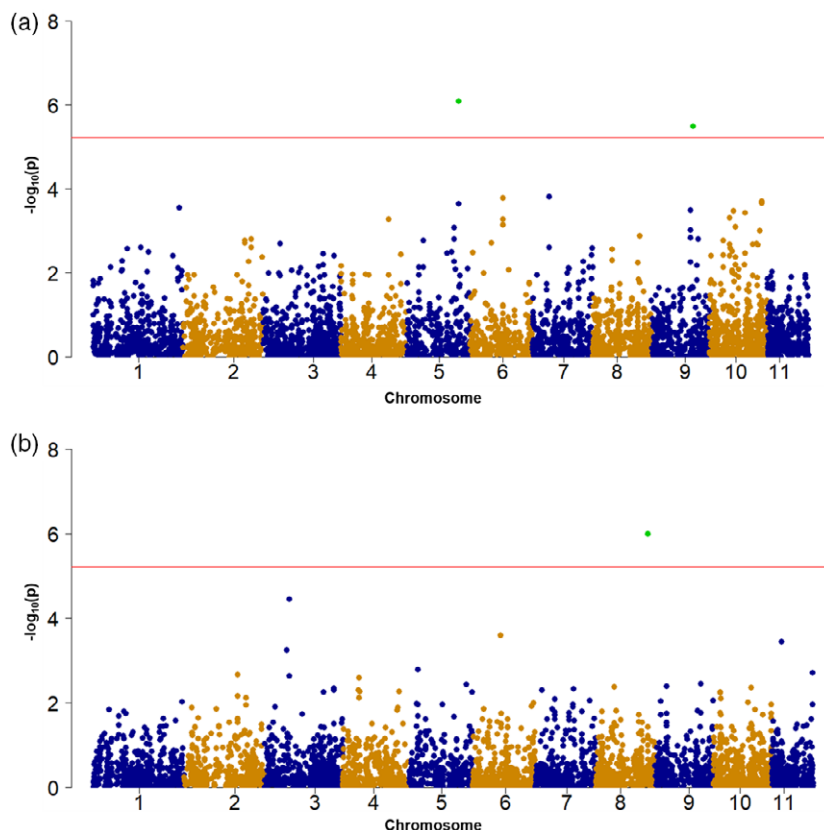


Figure 5. Manhattan plot of single nucleotide polymorphism markers generated by GEMMA using multivariate linear mixed model (mvLMM) in giant sequoia.

(a) Manhattan plot indicate the mvLMM analysis with the phenotypic traits shoot mass per unit area, osmotic pressure at full turgor, carbon isotope discrimination, carbon/nitrogen ratio, and D15N (group 1) in giant sequoia.

(b) Manhattan plot indicate the mvLMM analysis with the phenotypic traits total area of transfusion tissue, total xylem area, and hydraulic area (group 2) in giant sequoia. Red line represents genome-wide significant cut-off ($P < 6.00 \times 10^{-7}$). Green dot over the genome-wide significant cut-off (red line) represents the significant single nucleotide polymorphism ($P < 6.00 \times 10^{-7}$).

High levels of phenotypic variance in drought-related traits

SEGI is known for its high phenotypic plasticity and multiple adaptations to cope with water stress, including shoot and leaf succulence, leaf toughness, tight stomatal control of water loss, and increasing xylem cavitation resistance with height (Ambrose et al., 2015; Chin and Sillett, 2016; Pittermann et al., 2012). In a greenhouse study, Ambrose et al. (2016) found contrasting drought-response strategies between the species, with greater stomatal closure leading to an increase in intrinsic water-use efficiency and lower xylem embolism under severe drought in SEGI than in SESE. As an adaptation to their natural environment, shade-tolerant SESE seedlings will invest biomass into above-ground woody stems, which enhances competitive success in humid, closed canopy conditions with shallow water tables seen in northern forests (Ambrose et al., 2016; Sawyer et al., 2000). In contrast, although larger as adults, SEGI seedlings invest more biomass in developing root growth as desiccation is an important factor contributing to early mortality in the species (Harvey et al., 1980).

A study measuring shoot water potential, leaf gas exchange, xylem embolism, and growth concluded there were no significant differences at the population level in neither SESE nor SEGI (Ambrose et al., 2016). In contrast,

our study found significant population-level differences in three traits for each species (carbon isotope discrimination, osmotic pressure, and xylem HD for SEGI, and FA, osmotic pressure, and xylem HD for SESE). For example, carbon isotope discrimination of bulk leaf tissue for plants grown in our common garden was positively correlated with several precipitation and geographic variables for the environment of origin for each genotype. For a given photosynthetic capacity, a decrease in carbon isotope discrimination (i.e., less negative values of D13C) implies reduced stomatal opening and greater water-use efficiency. Thus, our results suggest that SEGI genotypes collected from sites near the northern limit of the species, or from more humid or lower-elevation (<2000 m) sites, have higher water-use efficiency when grown in a common garden than genotypes from more southern, drier, or higher-elevation sites. Under these criteria, we identified three high-elevation groves that might need conservation due to a higher sensitivity to drought (given lower carbon isotope values and lower water-use efficiency) should their year-round supplies of surface water diminish; these are Redwood Mountain (36.69 latitude, -118.92 longitude), Giant Forest (36.56 latitude, -118.75 longitude) and Atwell Mill (36.46 latitude, -118.68 longitude). All these groves are located over 2000 m of elevation, where cold tolerance

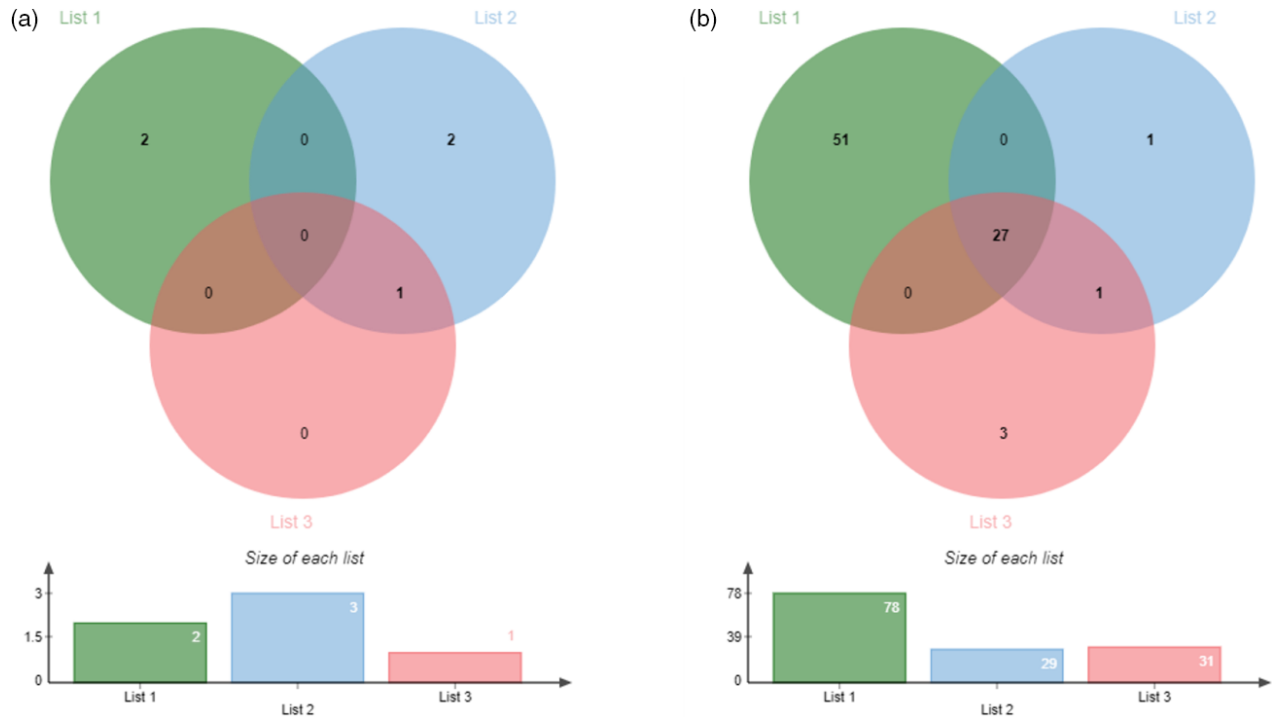


Figure 6. Venn diagrams.

Representing the common significant single nucleotide polymorphism (SNPs) identified by all three genome-wide association study analyses including general linear mixed model at TASSEL, univariate linear mixed model and multivariate linear mixed model at GEMMA in giant sequoia (a) and coast redwood (b). List 1 is the total number of SNPs identified by general linear mixed model, list 2 is total number of SNPs identified by univariate linear mixed model and list 3 is total SNPs identified by multivariate linear mixed model.

traits, such as narrow xylem tracheid diameters, may have been selected for over those supporting drought survival.

Within-crown phenotypic plasticity in tall trees of both SESE and SEGI is only slightly greater than that observed in the common garden, for comparable traits (red bars in Figure 1; Chin and Sillett, 2016; Oldham et al., 2010). The innate ability to acclimate to environmental microclimatic conditions, and the mostly small differences in within-crown compared with within-garden variation suggests that naturally recruiting trees of northern provenance may have a different range of plasticity less suited to withstand climatic pressures comparable with conditions experienced in the southern range. Indeed, southernmost SESE trees reach a maximum height of 20–30 m shorter than northern trees but have similar treetop levels of transfusion tissue investment (Ishii et al., 2014). In contrast to the two species explored here, Douglas fir (*Pseudotsuga menziesii*) has varying amounts of within-crown trait variability across its much larger range (Chin and Sillett, 2019), suggesting that future genomic work may find tradeoffs between geographic and individual-level trait variation.

In SESE, larger values for two traits associated with the capacity for water transport (the total area of conducting fibers contributing to water transport, and the xylem HD [a measure of the effective mean size of individual xylem

conduits, accounting for non-linear effects of conduit size on water transport]), were associated with lower precipitation and higher temperatures in the environment of origin for genotypes. For example, genotypes collected from lower latitudes and more eastern locations (stands at Warm Springs Creek [38.68 latitude, –123.11 longitude] and Bodega [38.36 latitude, –122.96 longitude]) had particularly large areas devoted to central conducting fibers. Central fibers are found in SESE at their greatest abundance in a distinct shoot morphotype, specialized for absorption of water (UC Davis, Davis, CA, USA), so the increased area at dry sites may indicate a reliance on summertime foliar water uptake and use of alternate hydraulic pathways. These traits may indicate adaptations that enable water transport to be sustained in environments that are relatively warm and dry for this species; sustained water transport would, in turn, minimize leaf water stress and enable leaf stomata to remain open to allow photosynthesis (Brodribb et al., 2007). Thus, these groves might represent sources of drought-tolerant germplasm for SESE.

SESE genotypes from wet and dry locations have distinct combinations of water-stress-related functional traits when considered on a multivariate level, and far less variability than seen among intermediate rainfall sites, suggesting adaptive specialization. Intraspecific trait

Table 3 Gene ontology (GO) annotation of the genes of significant single nucleotide polymorphisms (SNPs) associated with different phenotypic traits in coast redwood (SESE) and giant sequoia (SEGI)

Gene	GO IDs	GO names
SESE_010495	MP: GO:0005515	MP: protein binding
SESE_022882	—	—
SESE_026053	—	—
SESE_026278	MP: GO:0005515	MP: protein binding
SESE_028233	MP: GO:0016614; MP: GO:0050660	MP: oxidoreductase activity, acting on CH-OH group of donors; MP: flavin adenine dinucleotide binding
SESE_031915	MP: GO:0003676	MP: nucleic acid binding
SESE_035946	MP: GO:0004497; MP: GO:0005506; MF: GO:0016705; MP: GO:0020037	MP: monooxygenase activity; MP: iron ion binding; MP: oxidoreductase activity, acting on paired donors, with incorporation or reduction of molecular oxygen; MP: heme binding
SESE_039821	BP: GO:0006468; MP: GO:0004672; MF: GO:0005524	BP: protein phosphorylation; MP: protein kinase activity; MP: ATP binding
SESE_041334	CC: GO:0016021	CC: integral component of membrane
SESE_058034	BP: GO:0006468; MP: GO:0004672; MP: GO:0005524	BP: protein phosphorylation; MP: protein kinase activity; MP: ATP binding
SESE_074679	CC: GO:0110165	CC: cellular anatomical entity
SESE_075160	BP: GO:0009765; CC: GO:0016020	BP: photosynthesis, light harvesting; CC: membrane
SESE_079577	BP: GO:0009630; MP: GO:0046872; CC: GO:0005634	BP: gravitropism; MP: metal ion binding; CC: nucleus
SESE_084919	—	—
SESE_093724	BP: GO:0016485; MP: GO:0004252; CC: GO:0005777	BP: protein processing; MP: serine-type endopeptidase activity; CC: peroxisome
SESE_102359	BP: GO:0006508; MP: GO:0008236	BP: proteolysis; MP: serine-type peptidase activity
SESE_118250	—	—
SESE_121791	BP: GO:0006508; MP: GO:0008234	BP: proteolysis; MP: cysteine-type peptidase activity
SESE_041833	BP: GO:0030244; MP: GO:0016760; CC: GO:0016020	BP: cellulose biosynthetic process; MP: cellulose synthase (UDP-forming) activity; CC: membrane
SESE_031320	—	—
SEGI_21288	BP: GO:0006278; BP: GO:0090502; MP: GO:0003676; MP: GO:0003964; MP: GO:0004523	BP: RNA-dependent DNA biosynthetic process; BP: RNA phosphodiester bond hydrolysis, endonucleolytic; MF: nucleic acid binding; MF: RNA-directed DNA polymerase activity; MF: RNA-DNA hybrid ribonuclease activity
SEGI_29523	MP: GO:0003824; MP: GO:0016740; MP: GO:0016765; MP: GO:0044689; MP: GO:0046872; MP: GO:0051536; MP: GO:0051539	MP: catalytic activity; MP: transferase activity; MP: transferase activity, transferring alkyl or aryl (other than methyl) groups; MP: 7,8-didemethyl-8-hydroxy-5-deazariboflavin synthase activity; MP: metal ion binding; MP: iron-sulfur cluster binding; MP: 4 iron, 4 sulfur cluster binding

BP, biological process; CC, cellular component; MP, molecular process.

convergence is a characteristic response to abiotic stress and so is expected on environmentally harsh, typically dry or cold, range ends (Mitchell and Bakker 2014, Van Nuland et al., 2020). In the case of SESE, rainforest conditions may present unique challenges due to months of continuous leaf wetness and heavy cloud cover, resulting in phenotypes on both latitudinal range ends that overlap with intermediate zones, but share little of the same trait-space. Better group separation based on precipitation-class, rather than latitude, may indicate that climatic adaptation has been more important than distance between populations in determining the SESE water-stress phenotype. Latitudinal groupings may be undetectable in SEGI because of the relatively consistent climate within its range; SESE samples came from sites spanning $>2.5\times$ the climatic variability (based on mean coefficient of variation).

Functional annotation of candidate genes

Candidate genes found in this study indicate a complex genomic architecture of drought tolerance with many genes involved in many important biological functions related to growth, abiotic stress resistance, and disease resistance. For example, gene SESE_010495 associated with the total area of transfusion tissue was involved in the ubiquitin system. The ubiquitin–proteasome system controls the degradation of most proteins in the cells. It provides a rapid strategy to control many cellular processes by degrading specific proteins, playing a critical role in the regulation of many biological processes such as hormonal signaling, growth, embryogenesis, senescence, and environmental stress (Sharma et al., 2016; Xu and Xue, 2019). F-box domain proteins have been found to play important

roles in abiotic stress responses via the ubiquitin pathway. For example, the study by Zhou et al. (2015) found that overexpression of *TaFBA1* enhanced drought tolerance in transgenic plants, confirming the importance of F-box proteins in plant tolerance to multiple stress conditions.

A significant SNP at the gene SESE_026278, which annotated as BTB/POZ domain-containing protein, was involved in the hedgehog signaling pathway. The BTB/POZ domain is an evolutionarily conserved and widely distributed structural motif found involved in different biological processes, such as transcriptional regulation, cytoskeletal organization, and formation of voltage-gated channels (Collins et al., 2001). Overexpression of GmBTB/POZ in soybean resulted in enhanced resistance to *Phytophthora sojae*. The activities and expression levels of enzymatic superoxide dismutase and peroxidase antioxidants were significantly higher in GmBTB/POZ-overexpressing transgenic soybean than in wild-type plants (Zhang et al., 2019).

Another important candidate gene identified in our study was SESE_039821, a receptor-like protein kinase. Receptor-like kinases are important signaling components that regulate a variety of cellular processes. Protein kinases regulate metabolic pathways and are intimately involved in cellular signaling networks (Wang et al., 2007). An Arabidopsis cDNA microarray analysis led to the identification of the cysteine-rich receptor-like kinase CRK36 responsive to the necrotrophic fungal pathogen (*Alternaria brassicicola*) (Lee et al., 2017). The gene *haiku2* is a mutant allele of gene *iku2*, which is a leucine-rich repeat kinase gene involved in the regulation of seed size in Arabidopsis (Luo et al., 2005).

In our study, the gene SESE_121791 was identified as cysteine protease RD19A-like and was involved in lysosome, apoptosis, and plant-pathogen interaction pathways. Papain-like cysteine proteases are involved in many plant processes (Zou et al., 2018). Cysteine proteases were found to play a role in nodule development in soybean and in the pathogen defense (Shukla et al., 2014; van Wyk et al., 2014). In addition, the cysteine protease (*AdCP*) gene in the wild peanut (*Arachis diogeni*) was differentially expressed when it was challenged with the late leaf spot pathogen (Shukla et al., 2014).

The gene SESE_075160 identified by GLM at TASSEL in our study was identified as chlorophyll *a/b*-binding protein. The light-harvesting chlorophyll *a/b*-binding (LHCB) members were shown to be targets of an abscisic acid (ABA)-responsive WRKY-domain transcription factor, which represses *LHCB* expression to balance the positive function of the LHCBs in ABA signaling. Consequently, it revealed that ABA is an inducer that fine-tunes *LHCB* expression through repressing the WRKY40 transcription repressor in stressful conditions in co-operation with light, which allows plants to adapt to environmental challenges (Liu et al., 2013).

This study is a step forward to understand the genomics of drought tolerance in long-generation conifer species. Genomic studies have been limited in conifers due to their large genome sizes, and long-generation times. Given the high levels of phenotypic variance despite the relatively small sample sizes in both SESE and SEGI found in this study, long-term studies with larger sample sizes are warranted. For that purpose, SESE seedlings measured in this study have been planted in long-term common gardens in California, where different phenotypes can be evaluated as trees mature. This new resource, together with our newly sequenced reference genomes of SEGI and SESE (Neale et al., 2021; Scott et al., 2020) will help to develop future genomic studies in the species. A thorough knowledge of the interconnection among plasticity, genomics, and physiological processes is needed to predict species responses to future warmer conditions and to design conservation and management strategies.

EXPERIMENTAL PROCEDURES

Foliage collection for greenhouse establishment

Juvenile foliage of SESE was collected from the Kuser common garden (Kuser et al., 1995) hedge orchard growing in Russell Reserve (University of California field station, Contra Costa County, California) during the fall of 2017. As the SESE-Kuser common garden is hedged annually, juvenile primary shoots were collected as they were ideal for propagation. Cuttings were taken of foliage from mature SEGI trees in the Fins trial (Fins, 1979) at Foresthill Divide Seed Orchard (Foresthill, California) in winter 2018. As the SEGI accessions were mature trees, juvenile foliage was sampled where possible, but sampling was restricted to plagiotropic growth. Collections were made to represent a wide range of geographic sites of origin, spanning the species natural distributions.

Immediately following collection, foliage samples were misted with water, wrapped in paper towels, and stored in labeled plastic bags. Bagged samples were then kept in a cooler with ice for transport to the greenhouse, where they were stored in a refrigerated room (4°C) for up to 24 h. One at a time, to avoid mixing of genotypes, samples were washed with water to remove debris, then briefly soaked in a disinfectant (Phyosan 20, solution of 39 ml L⁻¹). Terminal shoots were then trimmed into cuttings approximately 10 cm long. All primary needles were removed from the lower third of the each cutting. Between 30 and 60 cuttings per genotype were stuck. Cuttings were dipped in rooting hormone (3:1 Dip N Grow/water, 7500 ppm IBA) for 5 sec and then stuck in to rooting medium (9:1 perlite/peat by volume) with Osmocote 18-6-12 controlled release fertilizer at 1.8 kg m⁻³ and Micromax Micronutrients at 0.7 kg m⁻³. Cuttings were arranged in rows, with 3 cm between individual cuttings, and a minimum of 5 cm between rows. Rooting trays were kept under mist until roots emerged (for SESE, 2–3 months; for SEGI, 4 months or longer). Rooted cuttings were carefully removed from rooting medium and potted into individual containers with growing medium, individually labeled, staked with bamboo if needed, and returned to the greenhouse. Repotted plants were hand watered for 3–4 weeks and then placed on an irrigation drip.

For SESE genotyping, fresh needles were collected from a selected ramet from each of the surviving 92 clonal genets. Overall, these samples were sourced from 66 locations, with one to

three source trees per population. For SEGI genotyping, fresh needles were sampled from a selected ramet from each of the surviving 90 clonal genets. These SEGI accessions came from 23 groves, with one to nine samples from each population. In addition, six SEGI accessions were included as technical replicates, resulting, in total, in 96 genotyped samples.

DNA extraction

Young needles were collected from a selected ramet from each of the surviving 92 SESE and 90 SEGI genets. They were stored on ice for transport, then flash-frozen in liquid nitrogen, stored in a -80°C freezer for 48 h, and lyophilized (48 h for SEGI and 72 h for SESE). Global DNA (gDNA) was extracted with the Omega Biotek E-Z 96 Plant DNA kit and an Eppendorf automated pipetting workstation at UC Davis. The DNA extraction protocol included 1 day of tissue lysis, followed by several steps of precipitation, filtering, and elution. DNA quality was assessed using a Qubit 2.0 Fluorometer (average concentration = $24.5 \text{ ng } \mu\text{l}^{-1}$ for SESE and $43.5 \text{ ng } \mu\text{l}^{-1}$ for SEGI), NanoDrop 8000 (average A260/280 = 1.94; average A260/230 = 1.99 for SESE and 1.6 for SEGI), and gel electrophoresis (average fragment size $\geq 20,000 \text{ bp}$). Samples were normalized to $20 \text{ ng } \mu\text{l}^{-1}$ in $50 \text{ } \mu\text{l}$. The gDNA was submitted to the UC Davis Genome Center for sonication, size selection, and library preparation.

Sequence capture and SNP calling

Exome capture baits were designed for each species using PacBio IsoSeq RNA data combined with previously published Illumina RNA-seq data (Scott et al., 2020) and clustered at 95% identity to produce a set of non-redundant transcripts. The clustered transcripts were then mapped to the reference assembly at high stringency using gmap. For SEGI, the regions of matches were submitted to Roche (Madison, WI, USA) where 120-mer oligos were designed to cover the target regions at $2\times$ tiling density. For SESE, the regions of matches between genome sequence and transcript sequences submitted to Roche for 120-mer oligos were designed to cover the target regions at $2\times$ tiling density. The UC Davis Genome Center carried out hybridization of baits and the gDNA samples described above. The resulting libraries were pooled and sequenced on the NovaSeq 6000 platform. The total sequenced capture region was 22.078 Mbp in SEGI and 37.529 Mbp in SESE. BOWTIE2 v2.2.9 (Langmead and Salzberg, 2012) was used to align sequencing capture raw reads against the reference genome assemblies of SEGI version 2.0 (treegenesdb.org/FTP/Genomes/Segi) and SESE version 2.1 (treegenesdb.org/FTP/Genomes/Seese). Alignments were sorted and divided into multiple sets based on reference intervals, and later processed in parallel using SAMTOOLS v1.3.1 and BEDTOOLS v2.25.0 (Quinlan and Hall, 2010). SNPs were then called using BCFTOOLS with default parameters (Li et al., 2009). Haplotypes were called using Genome Analysis Toolkit (GATK v4.1.7.0) HaplotypeCaller and GenotypeGVCF (McKenna et al., 2010). SNP functional annotations were obtained from the species reference genome annotations in the TreeGenes database (treegenesdb.org); and by sequence alignment against the NCBI non-redundant protein sequences database (nr) using BLASTP (Johnson et al., 2008) with an $e\text{-value} < 1 \times 10^{-10}$. BCFTOOLS was used to merge vcf files of individuals for further analysis (Danecek et al., 2011).

Phenotypic traits

For each species, we measured 10 traits related to drought tolerance (Table 1) in one branch from each of three individuals per genotype. The set of available individuals from each genotype were distributed randomly throughout the greenhouse; sampling was performed haphazardly, in that we sampled the first three

individuals encountered for each genotype. In some cases, this required exhaustive searching due to poor survival of some genotypes; in other genotypes, many individuals were present. Each branch was sampled in early June 2020 using sharp secateurs and immediately placed in a Ziploc bag and sprayed with water. The bag was then sealed and placed in a cooler with ice to prevent further water loss. Upon return to the laboratory, each branch was recut under water ($\geq 1.5 \text{ cm}$), the cut end was placed into a 50-ml falcon tube, and the tube was placed into a stand to allow the branch to rehydrate for 38–46 h. After rehydration, three leaves were removed from each branch and stored in FAA for later anatomical measurements, and the branch was immediately returned to a sealed Ziploc bag that had been sprayed internally with water. These bags were stored in a refrigerator until completion of measurement of shoot mass per unit area and subsampling for osmotic pressure measurements and stomatal density mounts were completed. Three values (or, in a few cases, two) for each trait measurement were thus collected for each genotype, and subsequent analysis was performed on the mean of these three values. Methods for each trait measurement are described below.

Shoot mass per unit area. Each branch was removed from the refrigerator and its sealed bag, and a small, representative section was returned to the bag and refrigerator for subsequent measurements of osmotic pressure and stomatal density. The rest of the branch was dabbed dry with paper towels and placed on a scanner (Canon TR8520), scanned for later measurement of shoot silhouette area (including both leaves and the shoots to which they were attached) in ImageJ, placed into a labeled paper envelope, and placed in a drying oven at 70°C until weight stopped declining (generally approximately 24 h). These dried samples were later weighed on a 5-point digital balance (Mettler-Toledo model XS225DU). The shoot mass per unit area was computed as the ratio of dry mass to initial (fresh) silhouette area.

Stomatal density. For SESE, three leaves from each branch were excised and mounted abaxial side down in fingernail polish on a microscope slide. The number of stomata in a single image frame at a magnification of $200\times$ was counted for each leaf and divided by the frame size (0.255742 mm^2) to calculate stomatal density. Results are presented as the mean \pm SE among leaves. Stomatal density was not measured for SEGI.

Osmotic pressure at full turgor. For each branch, a 6-mm long section of a previously rehydrated leaf (SESE) or branch (SEGI) was excised with a fresh razor blade and immediately enclosed in the sample well of a C-52 thermocouple psychrometer (Wescor, Logan, UT, USA). The psychrometer was then placed in an insulated box and allowed to equilibrate. Every hour, a CR6 datalogger (Campbell Scientific, Logan, UT, USA) was used to initiate a 10-s cooling curve for each psychrometer, psychrometer output (μV) was recorded every second, and the average μV output between 2 and 5 sec after the end of cooling was calculated. The resulting means were found to remain stable between 4 and 9 h of equilibration; values from either 5 or 6 h were used for subsequent analysis. Each psychrometer was calibrated using five KCl solutions, with osmotic pressures of 0, 0.5, 1.0, 2.0, and 3.0 MPa, with 0.025 ml of each solution placed on a filter paper disk in the psychrometer sample well and otherwise measured as described earlier for leaves.

Elemental and isotopic analyses. A dried sample of leaf (SESE) or branch (SEGI) material was placed in a sealed cuvette with three stainless steel spherical pellets and ground in a ball mill

for 2 min. Subsamples (1.9–4.6 mg) were weighed and transferred into tin capsules, placed into 96-well trays, and crushed to seal the capsules. $\delta^{13}\text{C}$ (relative to Vienna Pee Dee Belemnite standard) and total C and N were measured at the UC Davis Stable Isotope Facility using a PDZ Europa ANCA-GSL elemental analyzer interfaced to a PDZ Europa 20-20 isotope ratio mass spectrometer (Sercon Ltd., Cheshire, UK), with several replicates of at least four laboratory reference standards periodically interspersed for internal calibration. The CN ratio (mol mol^{-1}) was calculated by dividing total C by total N.

Leaf vascular anatomy. Leaves previously stored in FAA as described earlier were hand-sectioned, mounted on a slide, and digitally imaged at $400\times$ magnification, centered on the single leaf vein, and four traits were measured using ImageJ: (i) total cross-sectional area of transfusion tissue laterally abutting the single leaf vein; (ii) total cross-sectional area occupied by xylem; (iii) hydraulic mean diameter (calculated following Kolb and Sperry, 1999 as $\text{HD} = \sum D^5 / \sum D^4$, where D is conduit diameter and the sum is taken over 10 conduits; D was calculated from conduit lumen area $[A]$ as $D = [4A/\pi]^{0.5}$); and (iv) the FA, when present (longitudinal fibers with thick, concentrically lamellated cell walls located adjacent to the adaxial side of the xylem, and are thought to contribute to water transport). Central fibers were not observed in SEGI. As for all other traits, measurements were repeated for three leaves per genotype, each taken from a different ramet.

Correlations among drought-related traits, and geographic, and environmental variables

Physiological parameters depend on relationships among traits and their composite effects on leaf function; thus, we evaluated geo-climatic clustering within the collective phenotypic trait-space observed in the common garden. We also tested and plotted correlations among the nine drought-related traits (Table 1) and geographical and environmental variables for both SEGI and SESE using R packages Hmisc and ggcorplot in R studio 1.1.442. Geographic variables (latitude, longitude, and elevation) representing the geographic origin of the sampled trees (collected directly for SESE individuals, or using the centroid of the grove polygon for SEGI) were used as geographic origin to obtain environmental data from public databases such as WorldClim2.0 (Fick and Hijmans, 2017) and ClimateNA (Wang et al., 2016).

All 83 SESE genotypes were ordinated in Euclidean trait-space with principal components analysis (PCA), using a correlation matrix and eight of nine traits, excluding only CN because of univariate non-linear relationships with other traits. A similar analysis was repeated unsuccessfully for SEGI, which did not have any geographic or climatic associations with PCA axes. SEGI had only five traits suitable for PCA, giving less dimensionality to explore, and samples came from far fewer groves, which were sampled unevenly. Grove-level clusters were apparent in the trait-space, but our sample size did not permit analysis on that level. The eight SESE traits used had a mean skewness of 0.366 and a mean kurtosis of 0.596. None of the climatic or geographic variables had strong correlations with individual axes; however, the cumulative association of rainfall-related variables and latitude were $>R^2 = 0.3$. We selected MAP and latitude to create two sets of potential clusters within the trait-space, selecting three groups from each, with the highest and lowest values forming two groups, and the intermediate values forming a larger, third class. For latitude we called genotypes from above 40° latitude “north” ($N = 23$), those from latitudes below 37.5° “south” ($N = 19$), and intermediate zones “central” ($N = 41$). Categories for rainfall were

“wet” if sampling sites received more than 1600 mm of annual precipitation ($N = 19$), those from locations with fewer than 900 mm of precipitation “dry” ($N = 16$), and “intermediate rainfall” ($N = 48$). With the first five PCA axes, retaining 78% of the total trait variation, we found the five-dimensional “phenotypic volumes” as minimum convex hulls occupied by each potential latitudinal or rainfall class, and estimated their intersection and union using the R package hypervolume.

Genotype data preparation

Raw genotyping data containing high levels of missing data were filtered using TASSEL v5.2.72 (Bradbury et al., 2007) with the following parameters: minor allele frequency (maf) = 0.05, maximum allele frequency ($\text{max} - \text{maf}$) = 0.9. The minimum count—the minimum number of taxa in which the site must have been scored to be included in the filtered data set, 50 was implemented for SEGI and 30 for SESE.

GWAS

Associations between each drought-related trait and individual marker were tested using a GLM and an MLM implemented in the GWAS analysis in TASSEL v5.2.72 (Bradbury et al., 2007). A kinship matrix and PCA were calculated for the MLM analysis (Yu et al., 2006). Population structure was accounted by including principal components as covariates in the models. Relatedness among individuals was also accounted for by incorporating a kinship matrix in the models. Effect sizes (proportion of phenotypic variance explained by the marker) and the dominance and additive effects were also calculated in TASSEL.

In addition, uLMM and mvLMM GWAS were performed in GEMMA v0.98.3 (Zhou and Stephens, 2012, 2014). In contrast to the uLMM method, mvLMM associates multiple phenotypic traits with all markers simultaneously, while controlling for population structure and relatedness. To run GEMMA, PLINK binary ped format was generated using PLINK v1.9 software for association analysis. The Bonferroni threshold (<0.05) correction and false discovery rate were applied for multiple corrections to identify significant SNPs. Manhattan plots of $-\log_{10}(P)$ values for each SNP versus chromosomal positions were generated at the GLM of TASSEL and uLMM and mvLMM of GEMMA results.

Functional gene annotations

The genomic positions of the significant SNPs were investigated to identify the annotated genes by scanning the genomic VCF files of SEGI and SESE. Subsequently, the identified significant SNPs were annotated using annotation files downloaded from TreeGenes (<https://treegenesdb.org/TripalContactProfile/588450>). The annotation was confirmed using some other approaches such as pfam (Finn et al., 2014) and blastp (Johnson et al., 2008), BlastKOALA (Kanehisa et al., 2016a,b). The Pfam was ran using the HMMER (Finn et al., 2011) at default parameters with e-value 1.0 to search protein families. The blastp was ran at expected threshold-0.05; matrix-BLOSUM 62; database non-redundant protein sequence (nr) to search the similar hits. The BlastKOALA at KEGG (Kanehisa et al., 2016a,b) was performed for protein pathways and annotations. The identical matching genes were chosen to identify annotations and KEGG pathways.

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CONFLICT OF INTEREST

The authors declare there are no conflict of interests.

AUTHOR CONTRIBUTIONS

DN, ARDLT, TNB, and AS designed the study; AS established the common gardens; TNB, AS, and AROC planned the trait measurement; TNB measured all drought-related traits; BA and AS performed all genomic lab work; DP and SS performed the SNP calling; MKS and ARDLT performed all genomic and bioinformatic data analyses; MKS, TNB, AROC and ARDLT wrote the manuscript; all authors reviewed the final version of the manuscript.

DATA AVAILABILITY STATEMENT

Sequencing raw reads are deposited in the NCBI SRA (<https://www.ncbi.nlm.nih.gov/sra>) under BioSample SUB10142549.

SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article.

Figure S1. Correlations among drought-related traits, and geographic and environmental variables in giant sequoia. R values are color-coded based on the figure legend.

Figure S2. Correlations among drought-related traits, and geographic and environmental variables in coast redwood. R values are color-coded based on the figure legend.

Figure S3. Manhattan plot of SNP markers generated by TASSEL using GLM for SEGI (a) for SESE (b). Each point represents a genetic variant in Manhattan plots. In the Manhattan plot y-axis represent the *P*-value of SNP markers in $-\log_{10}$ and the x-axis is chromosomal positions.

Figure S4. Manhattan plot of SNP markers generated by GEMMA using univariate linear mixed model (uLMM) in SESE. (a) manhattan plot indicate the uLMM analysis with the phenotypic traits CN, (b) D13C, (c) D15N, (d) FA, (e) SMA, (f) PIFT, (g) SD, (h) TA, (i) HD, and (j) XA, in SESE. In the Manhattan plot y-axis represents the *P*-value of SNP markers in $-\log_{10}$ and the x-axis their chromosomal positions. Red line represents genome-wide significant cut-off ($P < 9.00E \times 10^{-6}$). Green dot over the genome-wide significant cut-off (red line) represents the significant SNPs ($P < 9.00E \times 10^{-6}$).

Figure S5. Manhattan plot of SNP markers generated by GEMMA using univariate linear mixed model (uLMM) in SEGI. (a–h) Manhattan plot indicate the uLMM analysis with the phenotypic traits (a) TA, (b) CN, (c) D13C, (d) D15N, (e) SMA, (f) PIFT, (g) HD, and (h) XA, in SEGI. In the Manhattan plot y-axis represent the *P*-value of SNP markers in $-\log_{10}$ and the x-axis their chromosomal positions. Red line represents genome-wide significant

cut-off ($P < 6.00E \times 10^{-7}$). Green dot over the genome-wide significant cut-off (red line) represents the significant SNPs ($P < 6.00E \times 10^{-7}$).

Table S1. SNP filtering in giant sequoia.

Table S2. SNP filtering in coast redwood.

Table S3. Univariate GLM TASSEL GWAS results in coast redwood.

Table S4. Univariate GLM TASSEL GWAS results in giant sequoia.

Table S5. Univariate uLMM GEMMA GWAS results in coast redwood.

Table S6. Multitrait multivariate mvLMM GEMMA GWAS results in coast redwood.

Table S7. uLMM and mvLMM GEMMA results in giant sequoia.

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